



W.D.A.C.  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 1001-004

In re Application of: David D. GOODMAN      Group Art Unit: 2644

Serial Number: 10/053,351      Examiner: Ramnandan P. SINGH

Filing Date: January 15, 2002      Confirmation No.: 9860

For: TWISTED PAIR COMMUNICATION SYSTEM

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**REQUEST TO EXCUSE FAILURE TO NOTIFY OFFICE OF LOSS OF STATUS AS  
SMALL ENTITY (37 C.F.R. § 1.28(c))**

Sir:

Applicant initially established small entity status, in good faith, on September 13, 2002, thereafter paid a number of small entity fees, including the fees for this continuation application.

Applicant recently discovered, however, that the assignee of the application had lost its entitlement to small entity status before the filing date of this continuation application and that, through error, applicant had failed to notify the Office of that change in status. Accordingly, pursuant to 37 C.F.R. § 1.27(g)(2), applicant hereby notifies the Office that the assignee of the captioned application is no longer entitled to small entity status.

Further, pursuant to 37 C.F.R. § 1.28(c), applicant hereby requests excusal of the delay in notifying the Office of loss of entitlement to small entity status and payment of small entity fees in error. The itemization required under 37 C.F.R. § 1.28(c) is as follows:

08/04/2006 WABDELRI 00000023 080219 10053351

01 FC:1011	300.00 OP
02 FC:1111	500.00 OP
03 FC:1311	200.00 OP
04 FC:1051	130.00 OP
05 FC:1201	200.00 OP
06 FC:1202	1950.00 OP
07 FC:1255      1808.00 DA	352.00 OP



Serial No.: 10/053,351

Itemization of the total deficiency payment for serial number 10/053,351				
When the small entity fee was paid	Particular type of fee that was erroneously paid as a small entity	The small entity fee actually paid	Current fee amount (for other than a small entity) on the date the deficiency is paid in full	Deficiency owed amount
9/13/2002	Basic filing fee - Utility	370	300	-70
n/a	Search Fee	0	500	500
n/a	Examination Fee	0	200	200
9/13/2002	Surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application	65	130	65
9/13/2002	5-month EOT	980	2160	1180
9/13/2002	Total claims in excess on 20 (15)	135	750	615
9/16/2002	Total claims in excess on 20 (24)	216	1200	984
9/16/2002	Total independent claims in excess on 3 (1)	42	200	158
	<b>Total Fee Deficiency</b>			<b>3632</b>

A check for \$3632.00 for payment of the total deficiency is submitted herewith.

If there are any deficiencies in the above calculation, or if there are any additional fees associated with or required by this request, then please charge them to Deposit Account No. 50-3869.

Date: August 1, 2006

**Hansen Huang Technology Law Group,  
LLP**

1725 Eye St., NW, Suite 300

Washington, D.C. 20006

Telephone: 202-465-3294

Facsimile: 202-349-3915

E-Mail: [robert.hansen@H2TLG.com](mailto:robert.hansen@H2TLG.com)

Respectfully submitted,

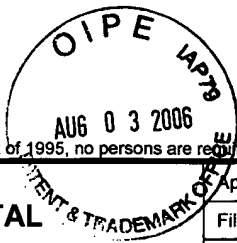
Robert M. Hansen

Attorney for Applicant

Reg. No.: 43,656

Customer No. 61275

<div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;"> <p><b>COMBINED FEE TRANSMITTAL</b> for FY 2005 <i>Effective 12/08/2004. Patent fees are subject to annual revision.</i></p> </div> <div> <p><b>Complete if Known</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>Application Number</td><td>10/053,351</td></tr> <tr><td>Filing Date</td><td>January 15, 2002</td></tr> <tr><td>First Named Inventor</td><td>David D. GOODMAN</td></tr> <tr><td>Examiner Name</td><td>Ramnandan P. SINGH</td></tr> <tr><td>Art Unit</td><td>2646</td></tr> <tr><td>Attorney Docket No.</td><td>1001-004</td></tr> </table> </div> </div>										Application Number	10/053,351	Filing Date	January 15, 2002	First Named Inventor	David D. GOODMAN	Examiner Name	Ramnandan P. SINGH	Art Unit	2646	Attorney Docket No.	1001-004																																																																																									
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<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p><b>METHOD OF PAYMENT (check one)</b></p> <p> <input checked="" type="checkbox"/> Check              <input type="checkbox"/> Credit card              <input type="checkbox"/> Money Order              <input type="checkbox"/> Other              <input type="checkbox"/> None         </p> <p><input type="checkbox"/> Deposit Account</p> <p>Deposit Account Number: <b>50-3869</b></p> <p>Deposit Account Name: <b>Hansen Huang Technology Law Group LLP</b></p> <p><b>The Commissioner is authorized to: (check all that apply)</b></p> <p> <input type="checkbox"/> Charge fee(s) indicated below  <input checked="" type="checkbox"/> Credit any overpayments and charge any deficiencies  <input type="checkbox"/> Charge any additional fee(s) during the pendency of this application  <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the deposit account         </p> </div> <div style="width: 50%;"> <p><b>FEE CALCULATION (continued)</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;"> <b>4. PETITION FEES UNDER 37 CFR 1.17 (f)</b>            Fee Code: 1462    <b>Fee \$ 400</b>    For petitions filed under:            § 1.53(e); § 1.57(a); § 1.182; § 1.183; § 1.378(e); § 1.741(b)         </td> <td style="width: 20%; text-align: center;"><b>Fee Paid</b></td> </tr> <tr> <td> <b>5. PETITION FEES UNDER 37 CFR 1.17 (g)</b>            Fee Code: 1463    <b>Fee \$ 200</b>    For petitions filed under:            § 1.12; § 1.14; § 1.47; § 1.59; § 1.103(a); § 1.136(b); § 1.295; § 1.296; § 1.377; § 1.550(e); § 1.956; § 5.12; § 5.15; § 5.25         </td> <td style="text-align: center;"><b>Fee Paid</b></td> </tr> <tr> <td> <b>6. PETITION FEES UNDER 37 CFR 1.17 (h)</b>            Fee Code: 1464    <b>Fee \$ 130</b>    For petitions filed under:            § 1.19(g); § 1.84; § 1.91; § 1.102(d); § 1.138(c); § 1.313; § 1.314         </td> <td style="text-align: center;"><b>Fee Paid</b></td> </tr> <tr> <td> <b>7. PROCESSING FEES UNDER 37 CFR 1.17 (i)</b>            Fee Code: 1808 (1803 for § 1.221)    <b>Fee \$ 130</b>    For petitions filed under:            § 1.28(c)(3); § 1.41; § 1.48; § 1.52(d); § 1.53(b)(3); § 1.55; § 1.99(e); § 1.103(b); § 1.103(c); § 1.103(d); § 1.217; § 1.221; § 1.291(c)(5); § 1.497(d); § 3.81         </td> <td style="text-align: center;"><b>Fee Paid</b></td> </tr> </table> </div> </div>										<b>4. PETITION FEES UNDER 37 CFR 1.17 (f)</b> Fee Code: 1462 <b>Fee \$ 400</b> For petitions filed under: § 1.53(e); § 1.57(a); § 1.182; § 1.183; § 1.378(e); § 1.741(b)	<b>Fee Paid</b>	<b>5. PETITION FEES UNDER 37 CFR 1.17 (g)</b> Fee Code: 1463 <b>Fee \$ 200</b> For petitions filed under: § 1.12; § 1.14; § 1.47; § 1.59; § 1.103(a); § 1.136(b); § 1.295; § 1.296; § 1.377; § 1.550(e); § 1.956; § 5.12; § 5.15; § 5.25	<b>Fee Paid</b>	<b>6. PETITION FEES UNDER 37 CFR 1.17 (h)</b> Fee Code: 1464 <b>Fee \$ 130</b> For petitions filed under: § 1.19(g); § 1.84; § 1.91; § 1.102(d); § 1.138(c); § 1.313; § 1.314	<b>Fee Paid</b>	<b>7. PROCESSING FEES UNDER 37 CFR 1.17 (i)</b> Fee Code: 1808 (1803 for § 1.221) <b>Fee \$ 130</b> For petitions filed under: § 1.28(c)(3); § 1.41; § 1.48; § 1.52(d); § 1.53(b)(3); § 1.55; § 1.99(e); § 1.103(b); § 1.103(c); § 1.103(d); § 1.217; § 1.221; § 1.291(c)(5); § 1.497(d); § 3.81	<b>Fee Paid</b>																																																																																													
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PTO/SB/21 (08/03)

Approved for use through 07/31/2006. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	<b>10/053,351</b>	
	Filing Date	<b>January 15, 2002</b>	
	Inventor	<b>David D. GOODMAN</b>	
	Art Unit	<b>2646</b>	
	Examiner Name	<b>Ramnandan P. SINGH</b>	
Confirmation No.	<b>9860</b>	Attorney Docket No.	<b>1001-004</b>

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s), please identify below:
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	1. <u>Request to Excuse Failure to Notify Office of Loss of Status as Small Entity</u>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	2. <u>Issue Fees Transmittal Part B</u>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<b>Remarks</b> This submission includes payment of the issue fees along with the Issue Fees Transmittal Part B, and submission of a Request to Excuse Failure to Notify Office of Loss of Status as Small Entity along with a check for the deficient fee amount.	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 (CFR) 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual Name	Robert M. Hansen, Reg. No. 43,656	
Signature		
Date	August 1, 2006	Customer No. 61275

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Robert M. Hansen, Reg. No. 43,656		
Signature		Date	August 1, 2006

This collection of information is required by 37 C.F.R. 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 C.F.R. 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions by reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.